Theory of Change Annual Reviews:
How they vary depending on phase of program cycle

Year	What commonly takes place in annual TOC review	What you need to understand/ review (non-exhaustive) during the TOC review	Sample sources of data
Year 1	• Conduct context review (e.g., assumptions, external actor, general context, etc.); • Incorporate refinement year (RY) findings • Review and refine causal logic, including SBC prioritization • Review output to outcome linkage with a sustainability lens • Ensure there are sufficient means for annually monitoring whether change is occurring as you expect it to for critical intermediary outcomes *(e.g.,RMS data points, qualitative input,implementation observations, secondary sources, etc.)*	• **Context:** Any major changes to the context that influence pathway logic? o Are critical assumptions expected to hold through life of activity? Why/ why not? o How will pathways be influenced if critical assumptions do not hold? If high risk, what is your risk mitigation plan? • **Logic refinement:** Do RY findings indicate any preconditions that are no longer necessary to achieve an outcome? These could be outputs, outcomes, assumptions, or the efforts of external actors. *Delete from pathways.* • Do RY findings indicate new preconditions are necessary to achieve an outcome? These could be outputs, outcomes, assumptions, or the efforts of external actors. • **SBC:** Is each planned behavior change necessary to achieving proposed outcomes? Is the number of expected behavior changes feasible in the life of the Activity? • **Sustainability:** Can users logically trace the sustainability plan for key outcomes (e.g., local / private service and input provision; necessary behavior change)? • **Monitoring:** Do any indicators need to be added or deleted in order to effectively measure whether incremental change is occurring as you expect it to?	• **All:** Findings from formative research and other studies, including secondary literature • **Assumptions:** websites; secondary literature; informal key informant (KI) interviews; • **External Actors:** shared reports; websites; informal KI interviews; staff observations

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Year 2	- Conduct context review *(e.g., assumptions, external actor, general context, etc.)* - Completion of BHA "identification" phase for sustainability planning. - Map output/ outcome achievement to TOC diagrams. - Analyze expected and, when possible demonstrated change - Continue to refine causal logic (prioritization/ elimination) - Monitoring review	**Context:** Any major changes to the context that influence pathway logic? - The extent to which external actors are producing outputs and achieving their outcomes? If progress is slower than expected, what is the potential impact on your TOC pathway achievement? **Sustainability:** Are LSP/PSPs for inputs and services articulated in the outputs? Can users logically trace the sustainability plan for key outcomes? **Achievement/ Logic refinement:** To the extent possible with available Year 2 data, and in consideration of how long it takes to demonstrate change for various outcomes, discuss: - Which outcomes are expected to demonstrate change within the next year? What systems must be developed to track progress if not already in place? - Are any outcomes no longer necessary to achieve upper level results? Any outcomes that may shift to responsibility of an external actor? - Which outputs must be prioritized in order to stimulate change (layering, sequencing, integration!)? - Are all outputs still necessary to stimulate change? **Monitoring:** Any new monitoring needs to help understand whether incremental change is occurring as you expect it to?	**Everything listed in Year 1 cell, if relevant** - Staff observations, especially changes that may not be formally monitored - IPTT % of target achieved for outputs and outcomes in lower tiers of TOC - Annual monitoring data sources for tracking not reported in IPTT - DIP output status: in progress, completed, eliminated - Activity progress reports

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Years 3-4	- Conduct context review *(e.g., assumptions, external actor, general context, etc.)* - Review midterm evaluation (MTE) recommendations - Map output/ outcome achievement to TOC diagrams. - Analyze expected and demonstrated change w/ sustainability lens - Fine tune plans for linking LSPs/ PSPs to capacity strengthening opportunities and resources. - Refine strategy for monitoring quality and accountability of service/ input delivery.	**Everything listed in Year 2,** achievement/ logic refinement now relevant to MTE findings. **Sustainability:** Which outcomes show promise for continuing after the Activity ends and why? - Do findings related to service/ input provisioning demonstrate positive shifts in motivation, capacity, and linkages to necessary resources? - Do findings related to behavior change demonstrate positive shifts in motivation, capacity (skills/ knowledge), and linkages to necessary resources to sustain behaviors? - What outcomes may not sustain, and why?	**Everything listed Year 2 cell** - MTE findings and recommendations - IPTT % of target achieved for outcomes in all tiers of TOC